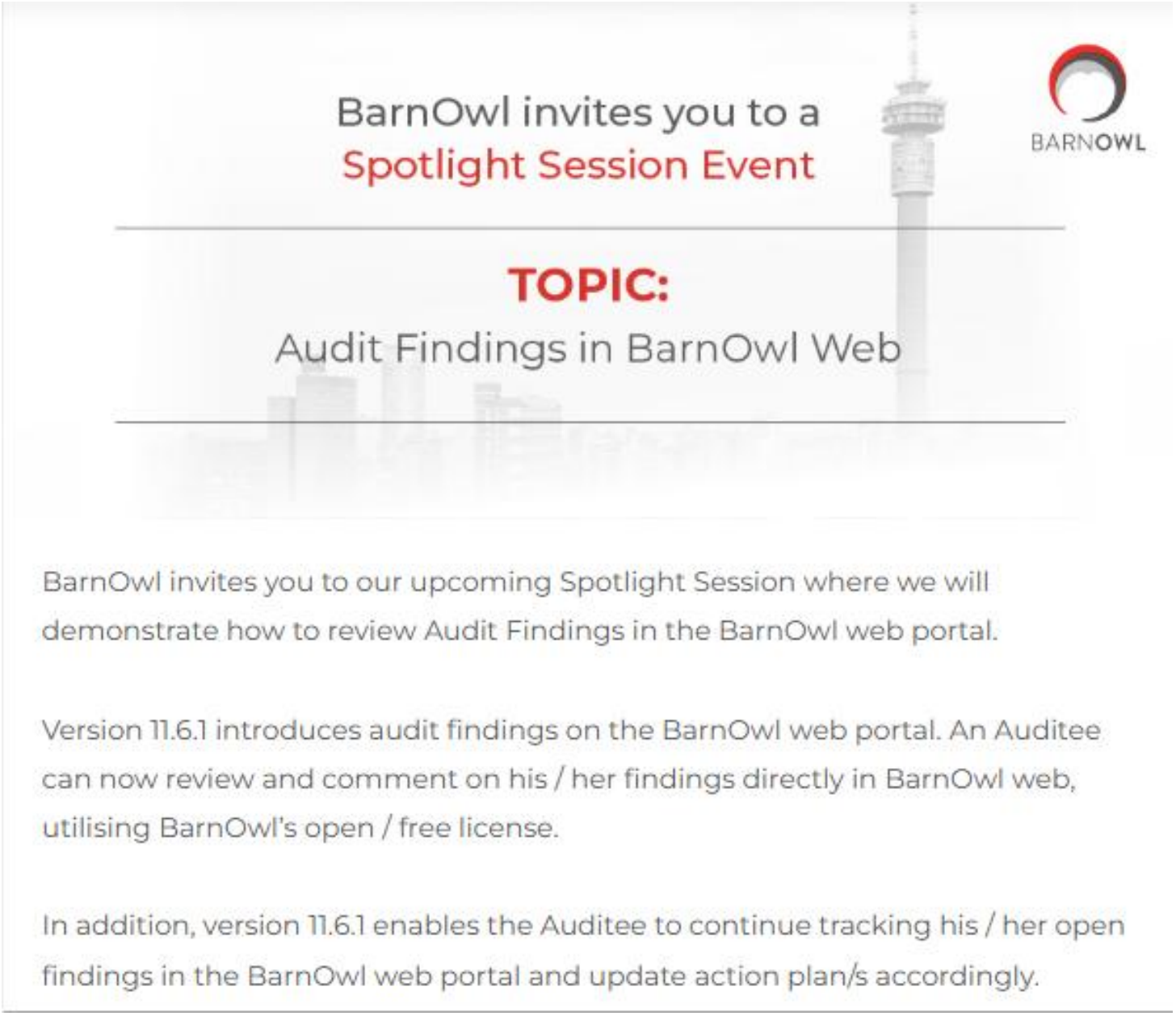
Audit Findings in BarnOwl Web
May 2025
Version 11.6.1

BARNOWL

BarnOwl spotlight on Audit Findings in BarnOwl web



Introduction: Audit Findings in BarnOwl web

- Version 11.6.1 introduces audit findings (raised in the **BarnOwl Audit module**) on the BarnOwl web app. **NB:** This is not to be confused with the BarnOwl Incident Management module which enables additional registers such as a 'Findings' register to be configured.

- An Auditee can now review and comment on his / her findings directly in BarnOwl web, utilising BarnOwl's open / free license.

- In addition version 11.6.1 allows the Auditee to continue tracking his / her open findings in the BarnOwl web portal and update action plan/s associated with the finding.

- In previous versions of BarnOwl, audit findings had to be exported by the Auditor to Excel, sent to the Auditee to complete his / her management comments in Excel and then re-imported into the system.

- BarnOwl's web-based findings streamline the review process which assists with management's (the Auditee) buy-in for the finding.

- Real time action plans linked to the finding/s drive ownership and accountability for resolving and closing finding/s.

- Alignment with the new (2024) global internal audit standards effective 9th January 2025: https://barnowl.co.za/knowledge-centre/integrated-grc-featured/the-new-global-internal-audit-standards-2024-focus-on-risk-management

Audit Findings in BarnOwl web (with referral process)

Step 1: Auditor raises a Finding/s and assigns Auditee/s to the Finding (BarnOwl desktop)

Step 2: Auditor sets the Referred Status to 'Opened for Comment' (BarnOwl desktop)

Step 3: Auditee completes management comment/s online (automated email notification with link to the BarnOwl web portal)

Step 4: Auditor reviews management comments (BarnOwl desktop)

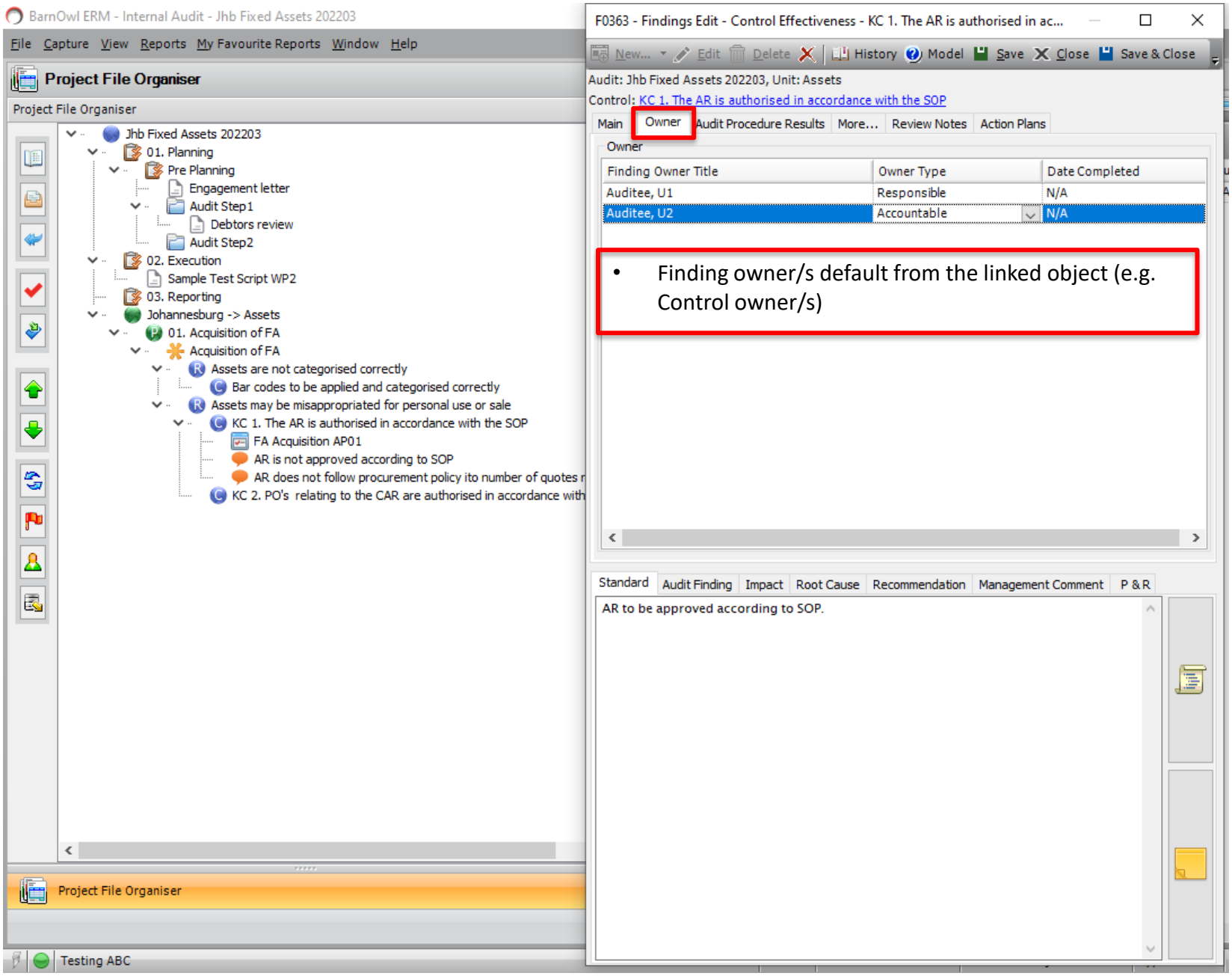
Step 5: Auditor closes and finalises management comments for reporting purposes (BarnOwl desktop)

Step 6: Auditor raises Action Plan/s against the Finding (BarnOwl desktop)

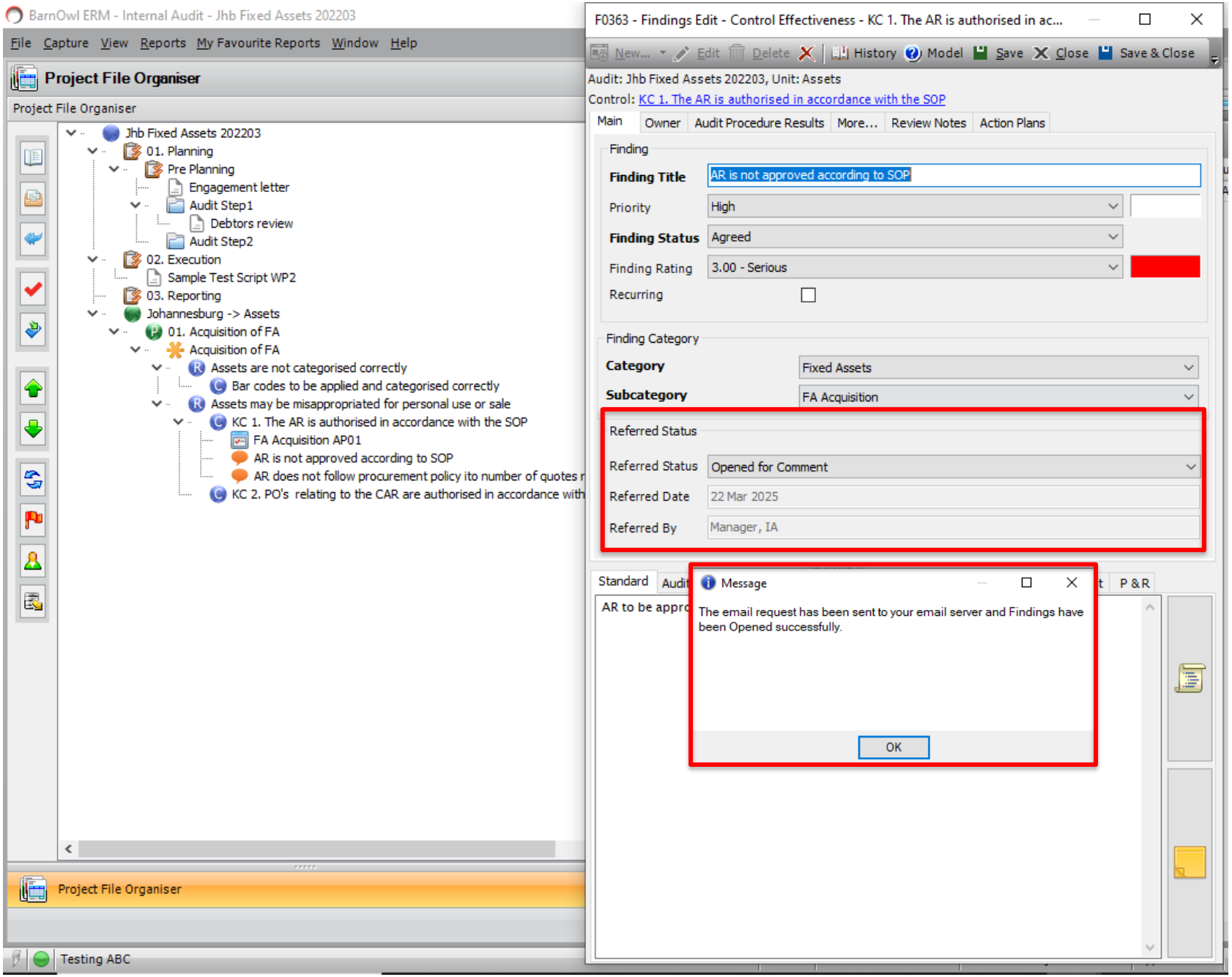
Step 7: Auditee responds to Action Plan/s (BarnOwl web portal)

Step 8: Auditor follows up and closes the Finding when resolved (BarnOwl desktop)

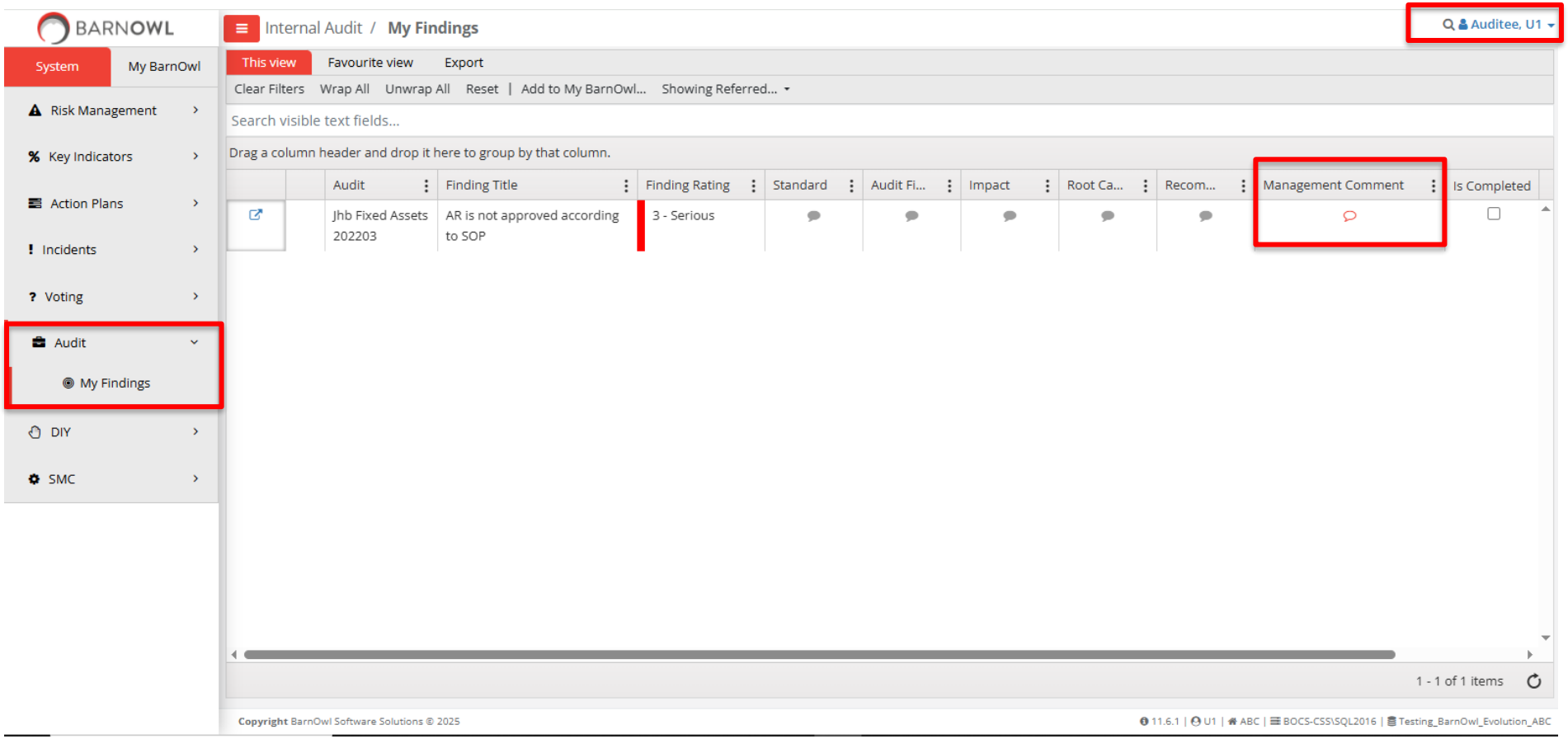
Step 1: Auditor raises and assigns Auditee/s to the Finding



Step 2: Auditor sets the Referred Status to ‘Opened for Comment’

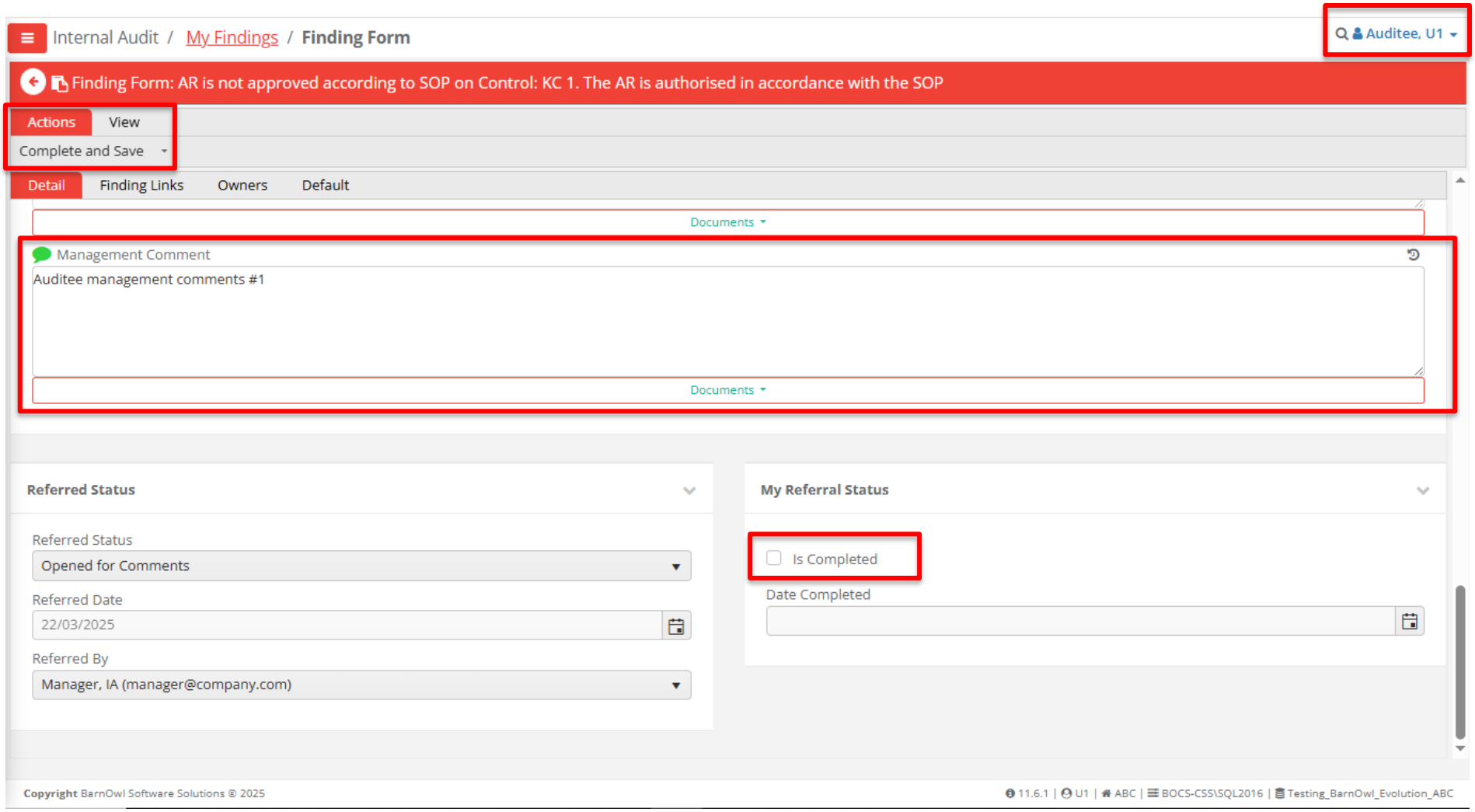


Step 3a: Findings referred to Auditee for management comment



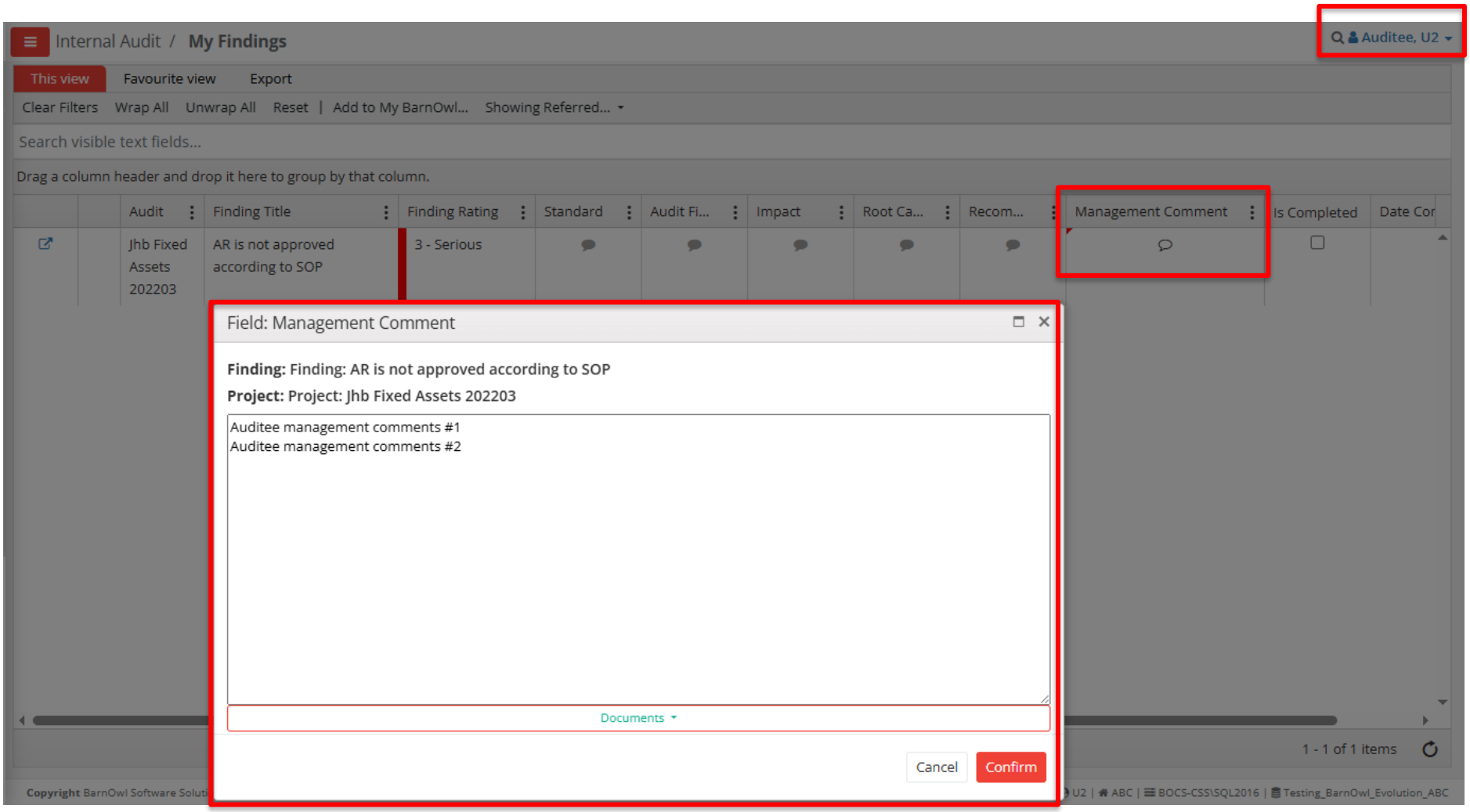
- Auditee/s receive email notifications to review and comment on their Findings in the BarnOwl web portal (free / open license): My Findings > Showing Referred / All Findings (toggle)
- Only an Auditee assigned to the Finding can view and comment on his / her Finding
- Auditors assigned as resources to an audit project can access all findings within that specific project

Step 3b: Auditee management comments #1



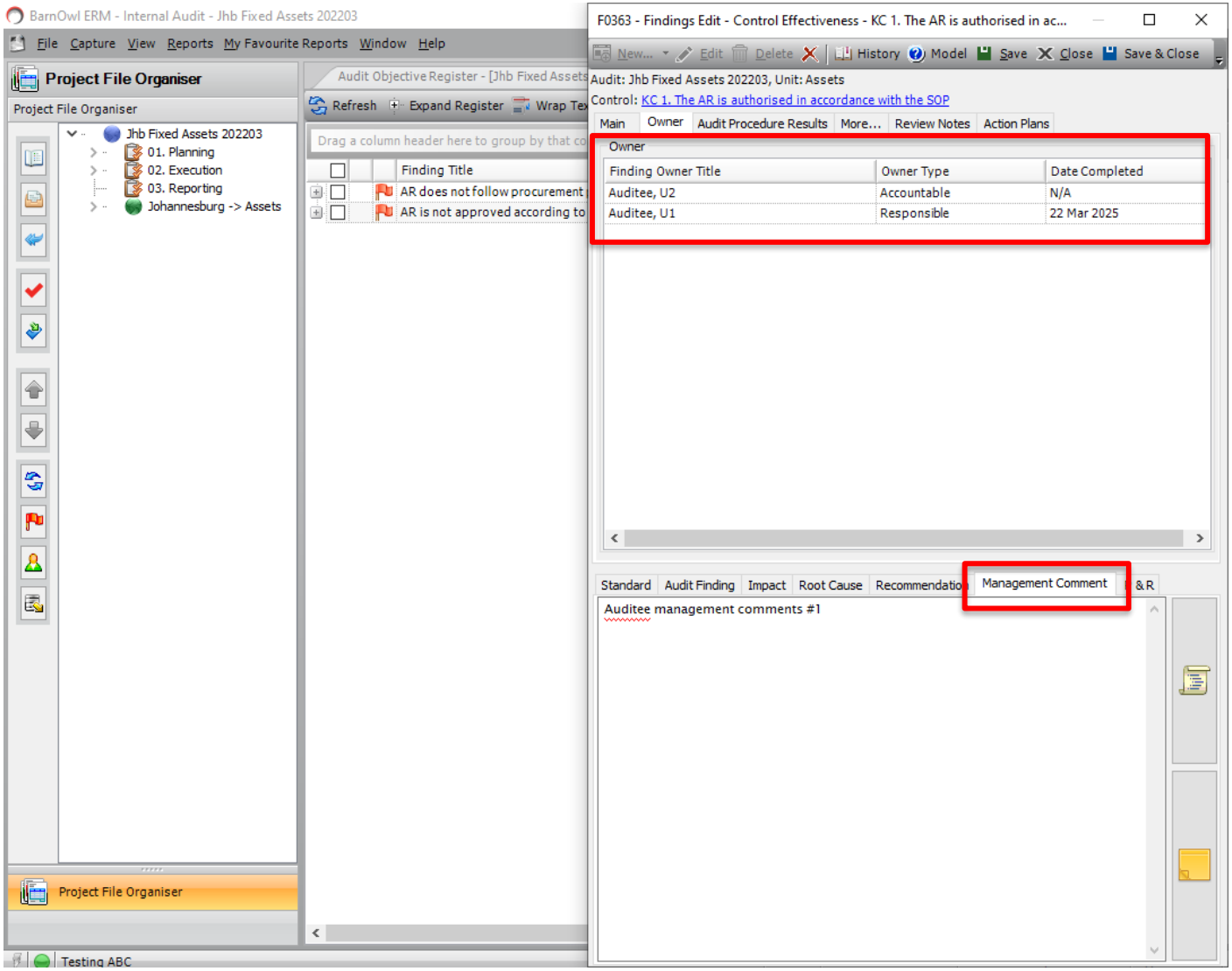
- Auditee #1 completes his / her management comments
- Is Completed?
- ‘Complete and Save’

Step 3c: Auditee management comments #2



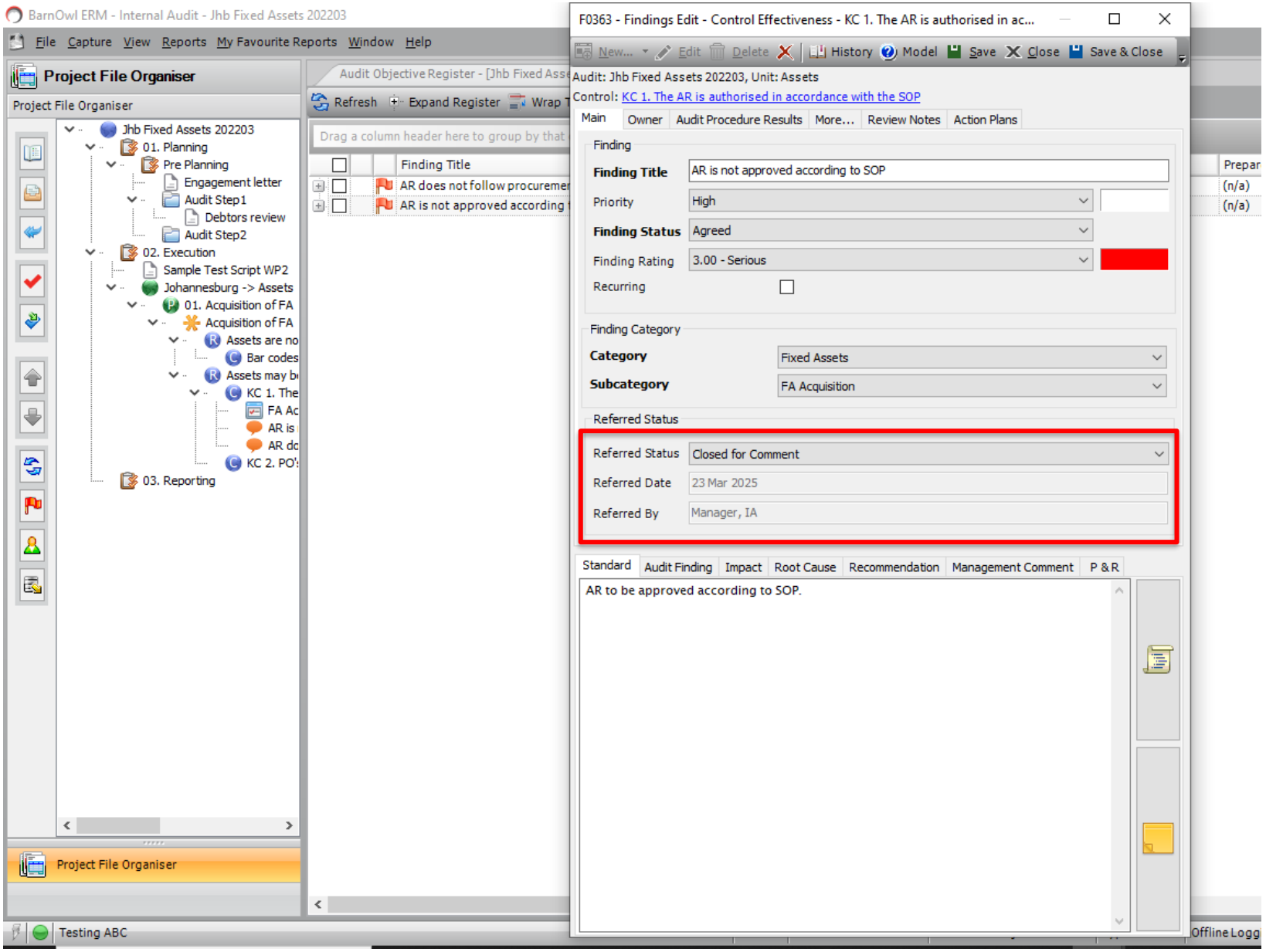
- Auditee #2 completes his / her management comments

Step 4a: Auditor reviews management comments

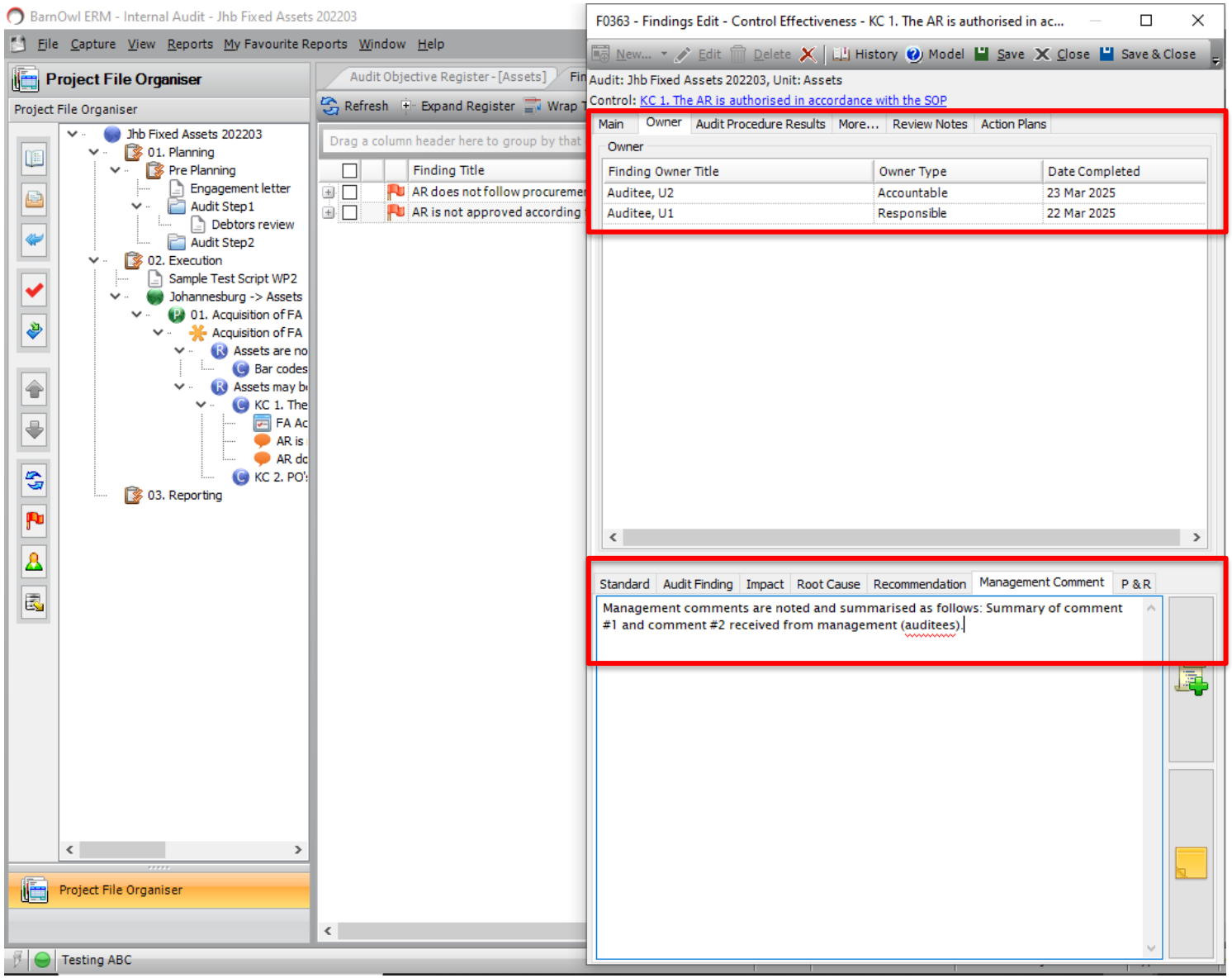


- Auditor reviews the Auditee/s management comments
- Auditor can see whether the Auditee/s have completed their review / comments

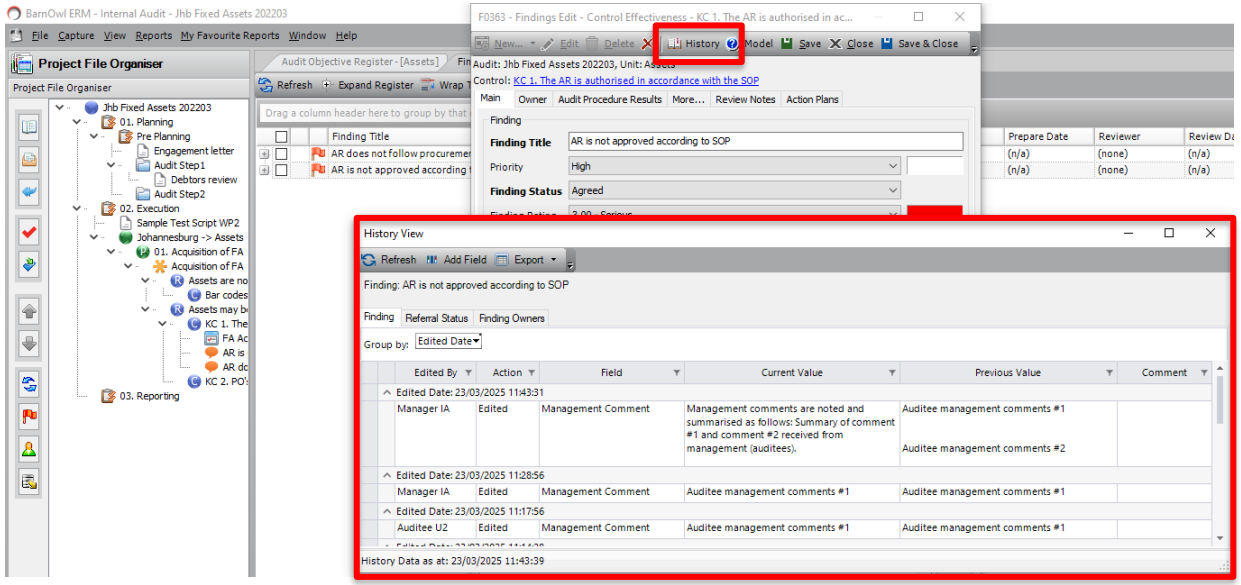
Step 4b: Auditor sets Referred Status to ‘Closed for Comment’

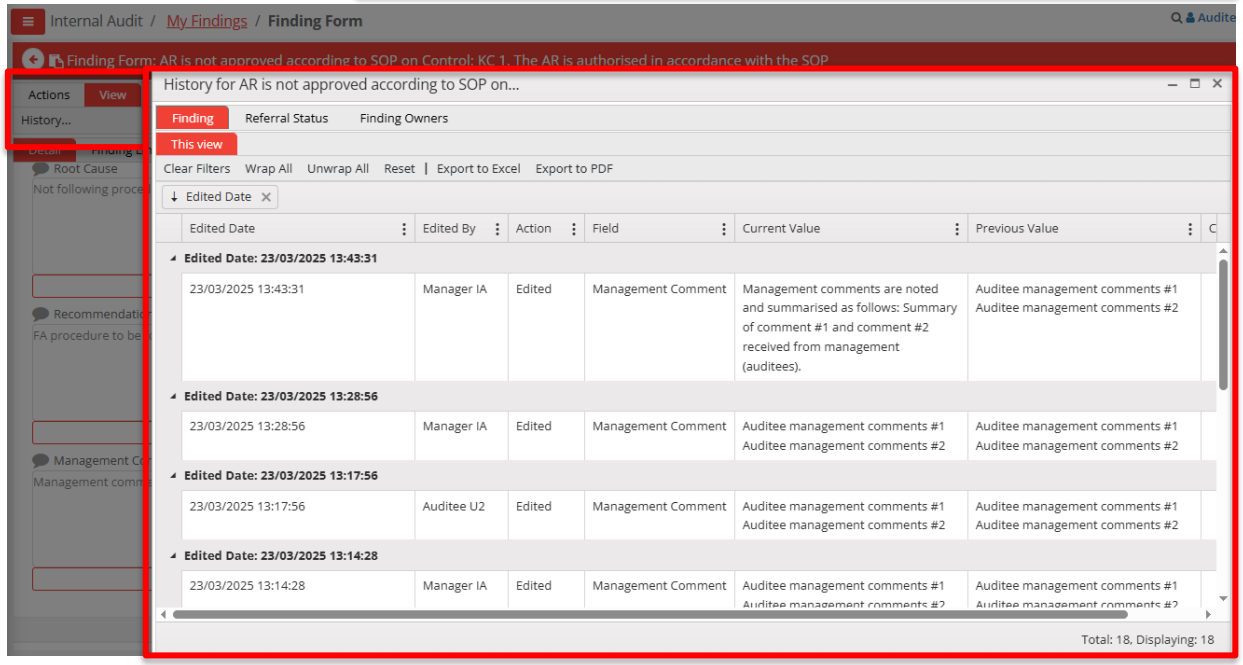


Step 5a: Auditor finalises comments for reporting purposes



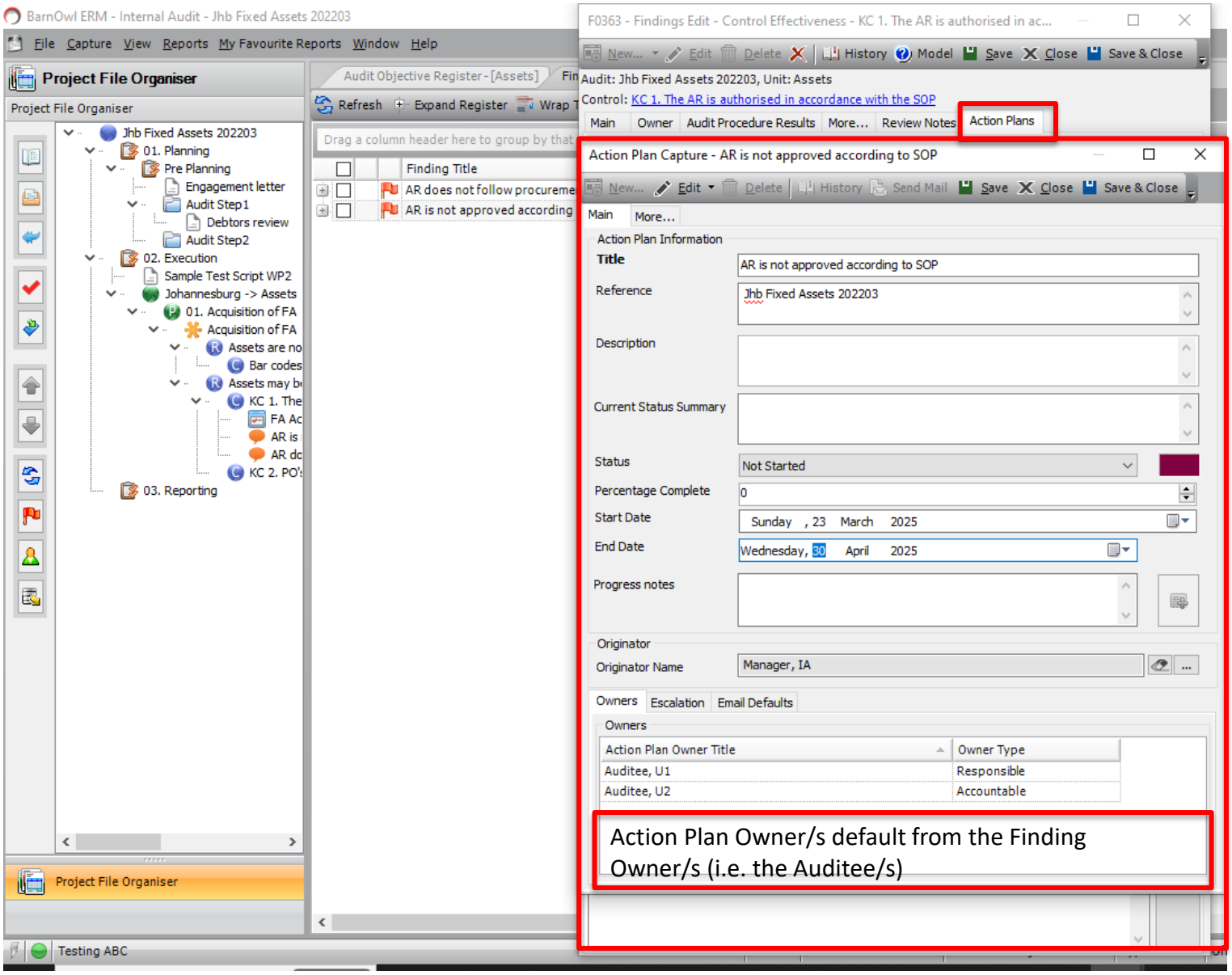
Step 5b – Audit trail on Findings (Desktop and Web)





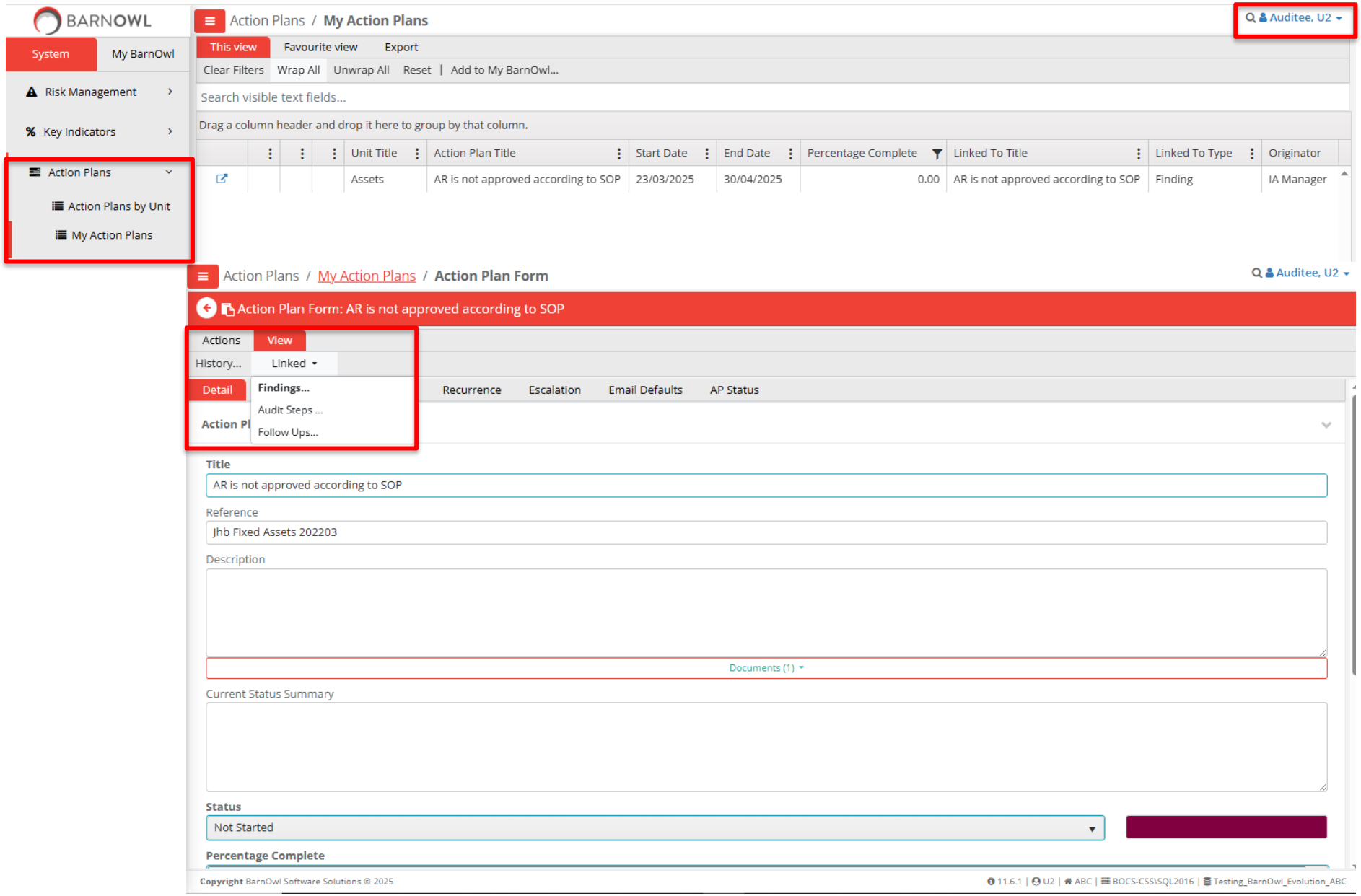
- Shows the full audit trail of management comments including the final version for reporting purposes

Step 6 – Auditor raises Action Plan/s against the Finding

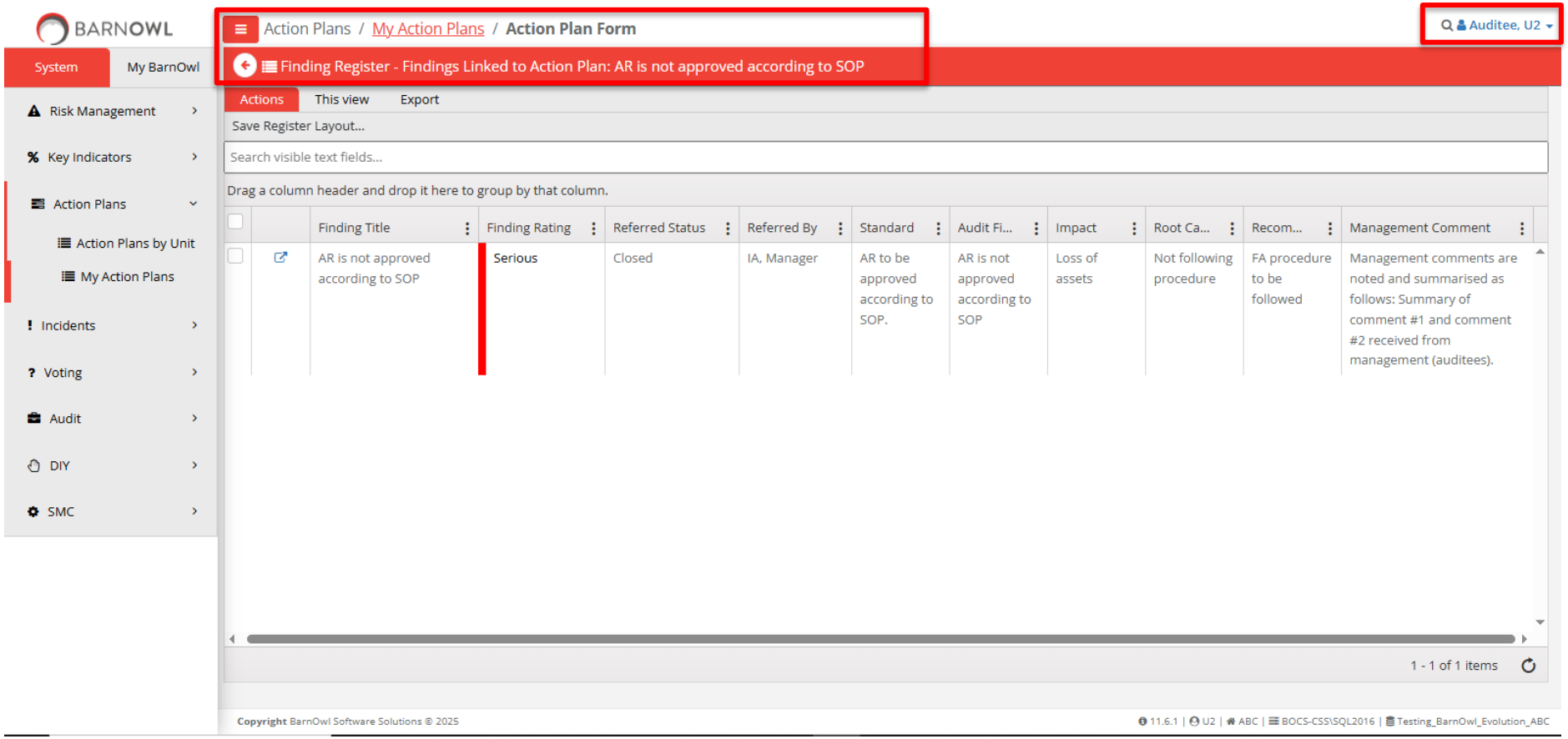


- Action Plans are generally raised after a close-out meeting with management (i.e. the Auditee/s)
- Action Plan email notifications, reminders and escalation

Step 7a – Auditee responds to Action Plan/s (free / open license)

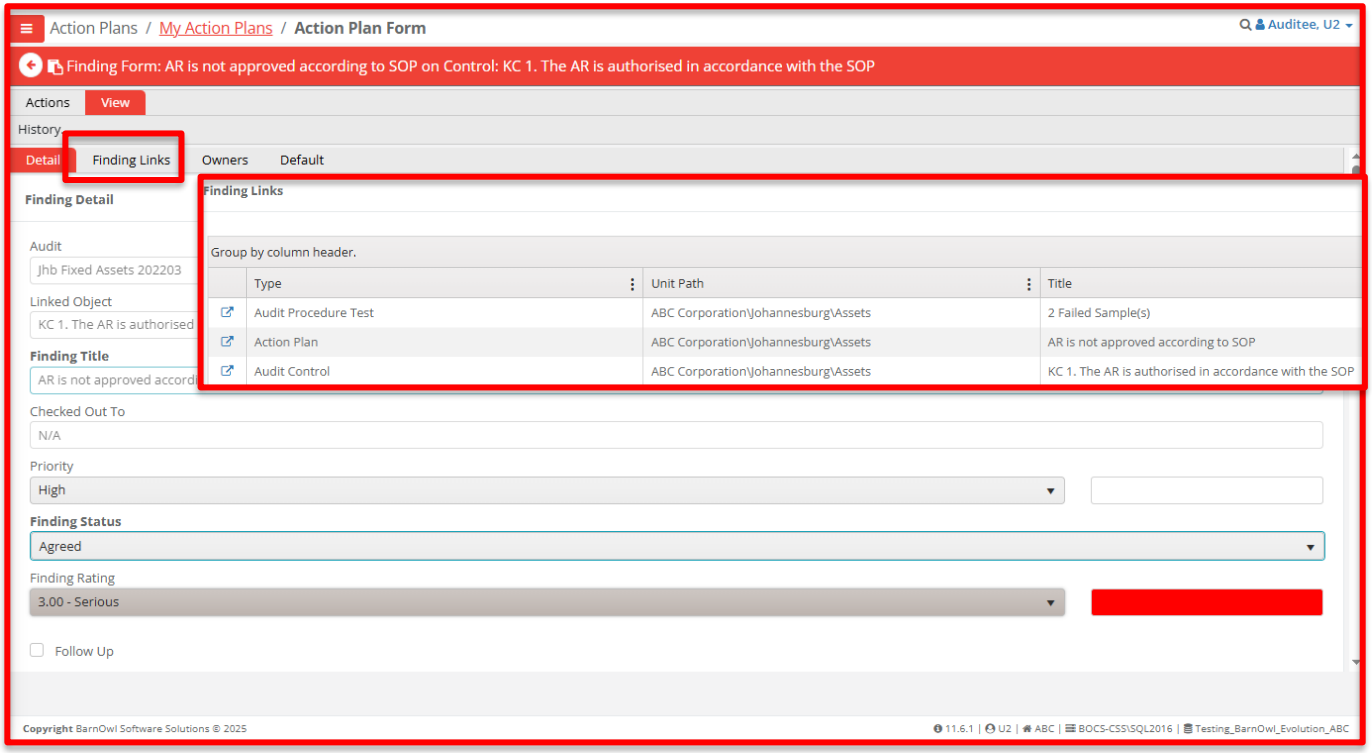


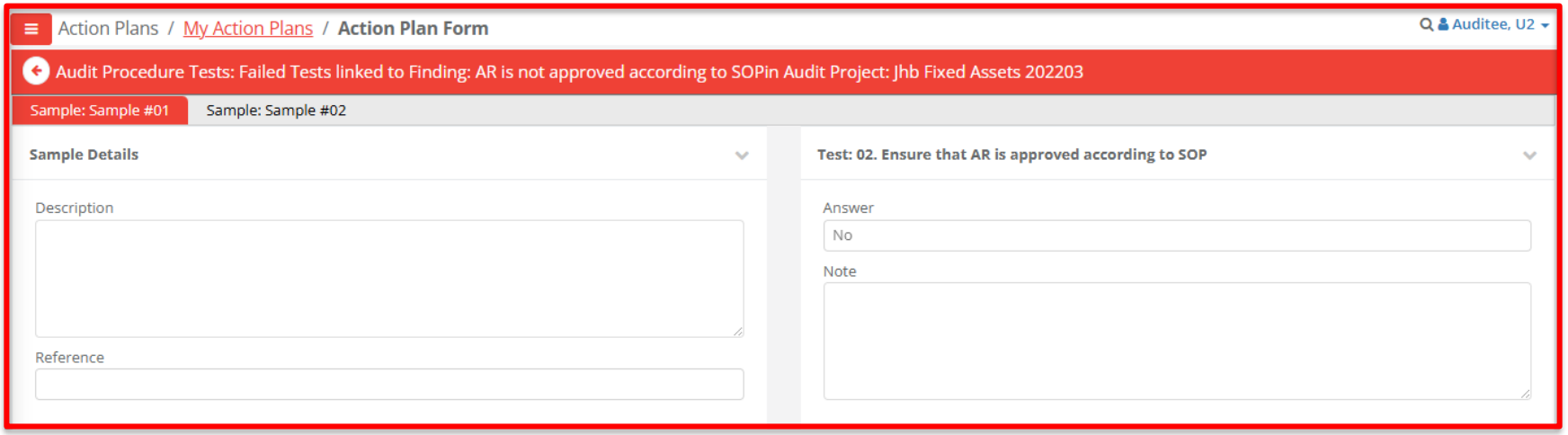
Step 7b – Auditee views the Finding linked to Action Plan



- View the linked Finding

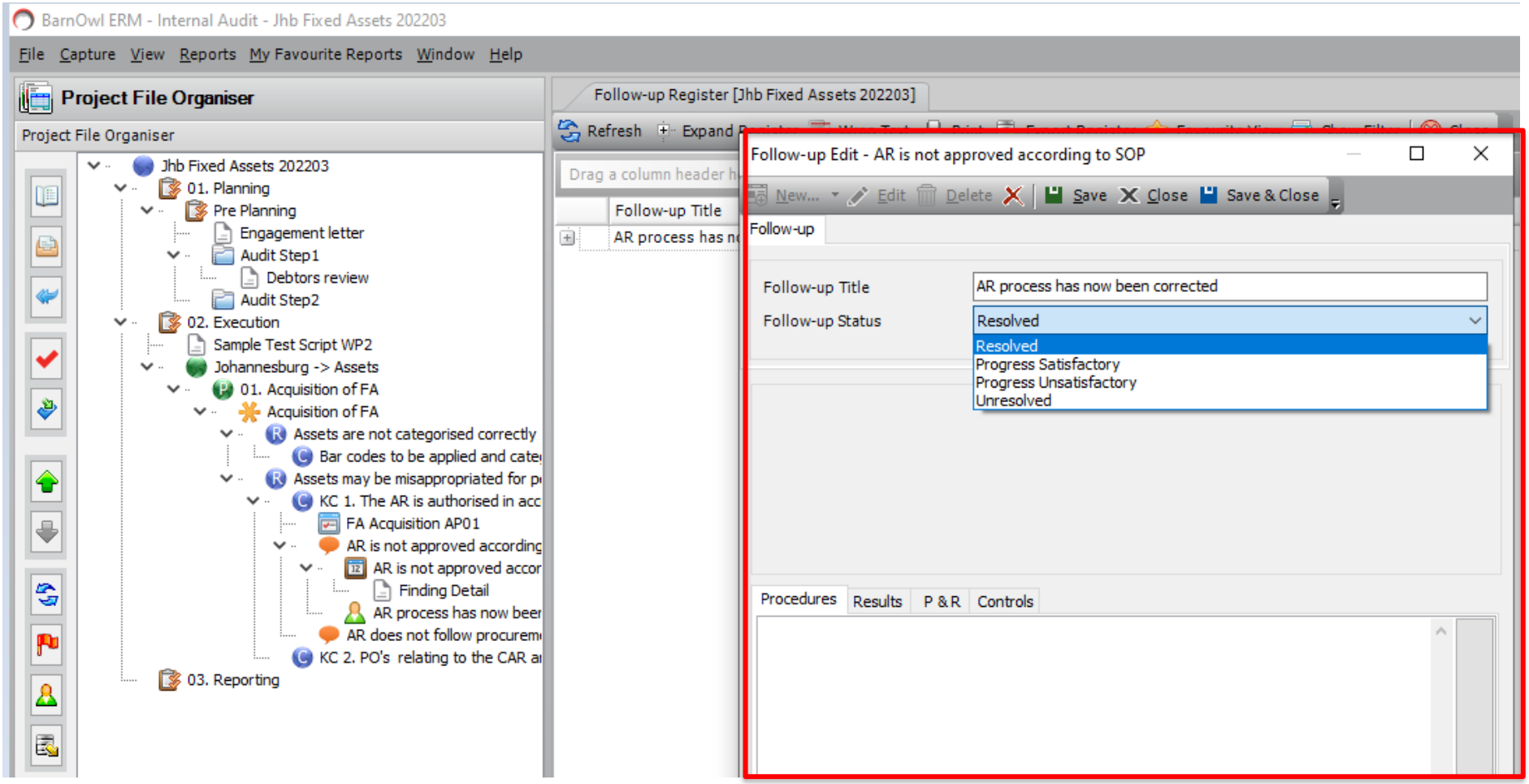
Step 7c – Auditee views the Finding details linked to Action Plan





- Including drill down into ‘Audit Procedure Tests’ to see which tests and samples failed

Step 8 – Auditor follows up and closes the Finding when resolved



In summary: Audit Findings in BarnOwl web

- BarnOwl's web-based findings streamline the review process which assists with management's (the Auditee) buy-in for the finding.
- Real time action plans linked to the finding/s drive ownership and accountability for resolving and closing finding/s.
- BarnOwl's web based portal helps embed enterprise risk management, assurance and good corporate governance throughout the organisation:
  - My Action Plans (free / open license)
  - My Findings (free / open license)
  - My Key Indicators input values (free / open license)
  - My Votes (surveys, Risk & Control self-assessments (RCSAs), questionnaires) (free / open license)
  - My Risk Re-assessments (paid-for BarnOwl web license)

Useful links

What's new in version 11.6.1

https://barnowl.co.za/solutions/internal-audit-software

https://barnowl.co.za/knowledge-centre/integrated-grc-featured/the-new-global-internal-audit-standards-2024-focus-on-risk-management

https://barnowl.co.za/event/barnowl-info-sharing-session-global-internal-audit-standards-2024-key-changes-to-note

https://www.theiia.org/globalassets/site/standards/globalinternalauditstandards_2024january9.pdf

Thank You

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https://barnowl.co.za/barnowl-knowledge-base/

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