BarnOwl invites you to a
Spotlight Session Event

TOPIC:

The BarnOwl Workshop module

Facilitator: Jonathan Crisp
Date: 27 February 2025
Time: 09h00 - 10h30

Click here for Spotlight Session recording

Agenda

1. Introduction

2. When to use the Workshop module versus RCSAs

3. Workshop key features

4. Workshop steps

5. Demo: creating, running and updating workshop results

6. In summary

7. Q&A

8. Closing - thank you for attending

Please note that this presentation and the Spotlight Teams recording will be made available on our website: https://barnowl.co.za/barnowl-knowledge-base

When to use the Workshop module versus RCSAs

BarnOwl workshop module	Risk and Control Self-Assessment (RCSA) voting
Designed to operate in a workshop environment with all participants situated in a single venue (with or without keypad voting)	Designed for remote (online) voting
Enables new risks and controls to be identified and captured during the workshop

Enables new as well as existing risks and controls to be voted on in the workshop (using interactive keypad voting or consensus voting) | Does not allow a user to capture new risks or controls

The user can only vote on risk and controls which already exist in the live risk register |
| Operates online or offline (i.e. eliminates the need for network connectivity) | Operates online only (the voter needs to be connected to the organisation's network) |
| Risk and control registers are updated in 'moderation mode', which means that the live registers are not updated directly

The live registers are only updated once the administrator (facilitator) closes the workshop and accepts the results | Risk and control registers are updated in 'moderation mode', which means that the live registers are not updated directly

The live registers are only updated once the administrator closes the vote and updates the results to the BarnOwl database |
| A BarnOwl desktop (rich) license is required for the facilitator / administrator to create and conduct a workshop

Key pads (optional) can be procured or rented from a 3rd party provider, Participate (Pty) Limited | A BarnOwl desktop (rich) license is required for an administrator to create and send out votes to the relevant users (risk and control owners)

RCSAs can be sent out to an unlimited number of users (risk and control owners) within your organisation without the users requiring a paid-for BarnOwl license. BarnOwl's open license is free to unlimited users within your organisation, enabling users to complete RCSAs, update their action plans, complete surveys & questionnaires and capture key indicator values |

BarnOwl Workshop Features

The BarnOwl workshop module is a highly effective way to facilitate interactive risk management workshops.

Features include:

- **Configurable**: configure a workshop to suit your workshop requirements.

- **Import existing risks and / or identify new risks**: Import your existing registers and / or capture newly identified risks, contributing factors, controls and action plans.

- **Online or Offline:** Workshops can be conducted in online or offline mode. Offline mode eliminates reliance on network connectivity. Workshop (online or offline) results are captured in 'moderation mode' and do not update the live database directly.

- **Keypad voting (optional):** Participants can use keypads to vote interactively on risk and control ratings. Voting is anonymous. Keypads are sold / rented out separately by a 3rd party provider, Participate (Pty) Limited.

- **Graphical reporting:** The workshop module includes bar charts showing the number of participants per voting selection (anonymous voting), risk heat maps, bar charts comparing workshop voting results with the risk ratings in the live database etc.

- **Update workshop results to BarnOwl:** Following a workshop, the results can be reviewed, approved, and updated to the live BarnOwl database.

BarnOwl Workshop Steps

- **Step 1:** Configure workshop (workshop facilitator)

- **Step 2:** Import current BarnOwl risk register/s into the workshop module (workshop facilitator)

- **Step 3:** Take the workshop offline (workshop facilitator)

- **Step 4:** Conduct the workshop (workshop facilitator with participants)

- **Step 5:** View workshop results (in the workshop)

- **Step 6:** Close the workshop, Accept / Reject workshop results and Save to the BarnOwl risk register

- **Step 7:** Synchronise the offline database back to the live database

- **Step 8:** View updated live risk register

Step 1: Configure workshop (workshop facilitator)

Workshop Template Edit - Strategic Risk Workshop 202501 ✕

New... | Edit | Delete ✕ | Start Workshop | Save ✕ Close | Save & Close | Search

Main | Objective Setup | Risk Setup | Control Setup | Contributing Factor Setup | Action Plan Setup | Email Workflow

Workshop Template Details

Title	Strategic Risk Workshop 202501
Reference	SRW 202501
Originator	Manager, IA
Status	Active ▾ ☑ Allow Vote Devices

Workshop Setup

Item Selection

- ☑ Include Objectives
- ☑ Include Risk
  - ☐ Include Controls
    - ☐ Include Control Action Plans
  - ☑ Include Risk Action Plans
  - ☑ Include Contributing Factors
    - ☑ Include CF Controls
      - ☑ Include CF Control Action Plans

Permission Setup

Activate	Manager, IA	... 👤
Import	Manager, IA	... 👤
Close	Manager, IA	... 👤
Update To ERM	Manager, IA	... 👤

Owners

Workshop Owner Title	Owner Type
Manager, IA	None

Step 2: Import your current BarnOwl risk register/s into the workshop module (workshop facilitator)

Step 3: Take the workshop offline (workshop facilitator)

Step 4a: Conduct the workshop
(workshop facilitator with participants)

Workshop Capture - Strategic Risk Workshop 202501 [ABC12]

New... | Edit | Delete | Import | Load Participants | Ready for Review | Clear Data | Clear Ratings | Save | X Close | Save & Close

Workshop Item Capture

Objectives

Parent Item

Objective Title
Governance, Sustainability and Grow...

Sort criteria
Order by: Risk Reference ASC   Then by: <not set> <not set>

	Risk Title	Risk Reference	Risk Description	Risk Category	Risk Subcategory	Risk Owners	RI	RL	RR
	Market risk	SR01	• Changing Consumer Preferences:...	Strategic	Market		5.00	2.00	10.00
	Operational Misalignment	SR02	• Resource Allocation: Misallocation...	Strategic	Operational		4.00	1.00	4.00
	Technological Risks	SR03	• Digital Transformation Challenges:...	Strategic	Technological		4.00	3.00	12.00
	Regulatory and Compliance risks	SR04	• Policy Changes: New laws, regulati...	Strategic	Regulatory		4.00	4.00	16.00
	Economic and Financial risks	SR05	• Economic Downturns: Recessions...	Strategic	Financial		5.00	2.00	10.00
	Reputational Risk	SR06		Strategic	Reputation		0.00	0.00	0.00

If you are not using keypads, the workshop facilitator will discuss the risk ratings with the participants and based on consensus, will update the risk ratings directly in the workshop register.

Step 4b: Vote on the risk (e.g. Market risk) using key pads (participants)

Voting is anonymous. The workshop facilitator can 'Stop' the vote when all votes are received.

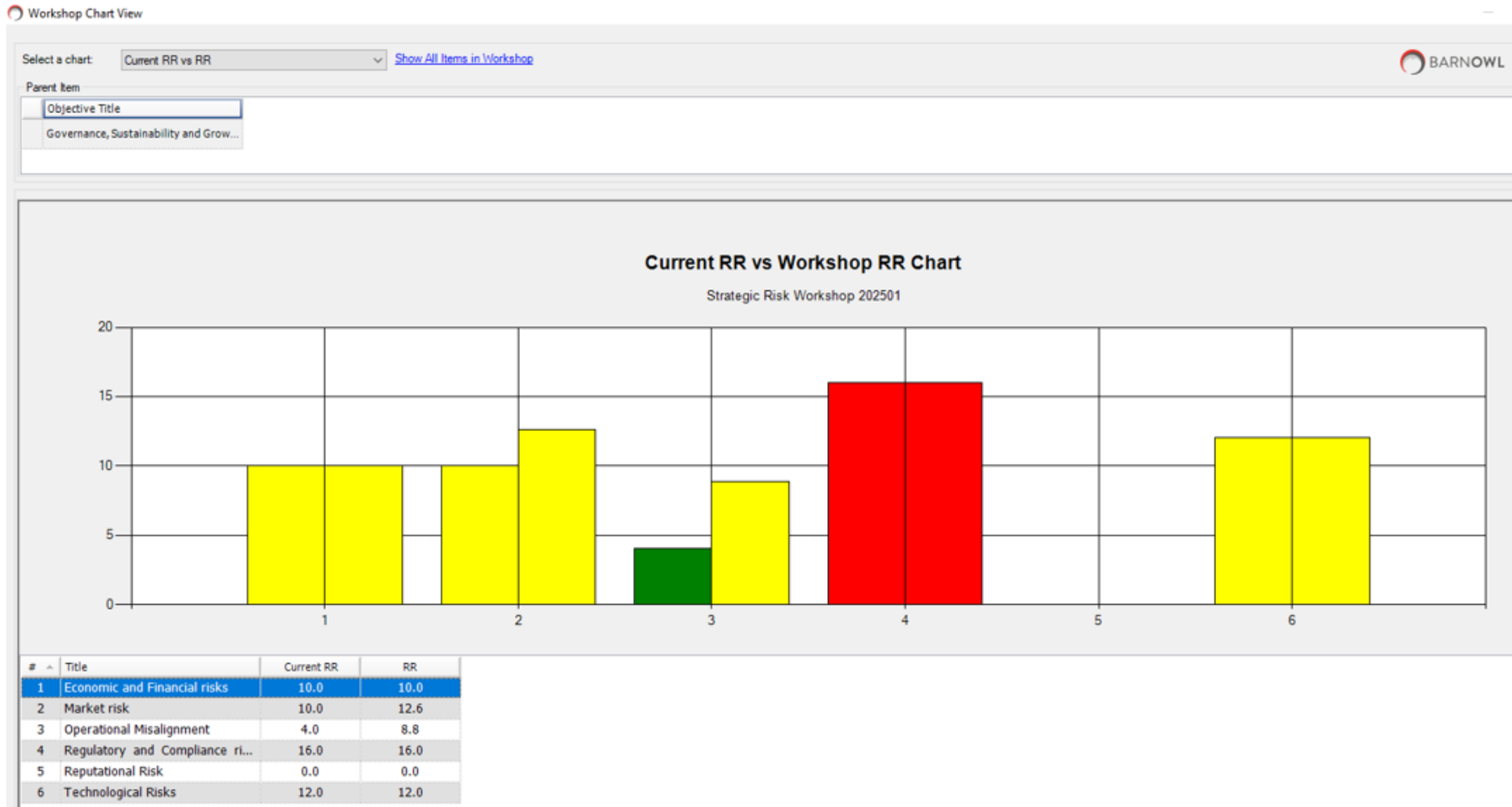The serial code displayed on the screen coincides with the serial code on the back of each keypad device

The chart shows how many participants (vertical (y) axis) voted for each option (horizontal (x) axis).

The table at the bottom of the chart is a summary of how many participants voted what.

Step 5: View workshop results
(in the workshop)

This chart shows the difference between the residual risk ratings in the existing live database (current RR) versus what the rating now looks like after voting (RR).

Step 6a: Close the workshop, Accept / Reject workshop results and Save the results to the BarnOwl risk register

Workshop Results View - Strategic Risk Workshop 202501

Refresh · Expand Register · Wrap Text · Print · Export Register · Favourite View · Show Filter · RM Values · Reports · Charts · Close · Save · Close

Drag a column header here to group by that column.

Objective Title									
Governance, Sustainability and Growth									

Risk Title	Risk Reference	RiskDescription	Risk Category	Risk Subcategory	Risk Owners	RI	RL	RR
Market risk	SR01	• Changing Consumer Preferences: A...	Strategic	Market		4.20	3.00	12.60

Contributing Factor Title
Changing consumer preferences
Competitor actions

Control Title	Control Effectiveness
Monitor competitor actions	Ineffective

Risk Title	Risk Reference	RiskDescription	Risk Category	Risk Subcategory	Risk Owners	RI	RL	RR
Operational Misalignment	SR02	• Resource Allocation: Misallocation...	Strategic	Operational		3.40	2.60	8.84
Technological Risks	SR03	• Digital Transformation Challenges:...	Strategic	Technological		4.00	3.00	12.00
Regulatory and Compliance risks	SR04	• Policy Changes: New laws, regulati...	Strategic	Regulatory		4.00	4.00	
Economic and Financial risks	SR05	• Economic Downturns: Recessions o...	Strategic	Financial		5.00	2.00	10.00
Reputational Risk	SR06		Strategic	Reputation		4.00	3.00	12.00

Contributing Factor Title
Negative Publicity

Control Title	Control Effectiveness
External communication management	Ineffective

Action Plan Title	Action Plan Description	Status	% Compl...	Action Plan Owners	Start Date	End Date
Communication policy		Not Started	0.00	Manager, IA	22 Jan 2025	28 Feb 2025

The red flag indicates which ratings have changed and the +(plus) or –(minus) shows which risks (and associated linked items) have been added or deleted.

Step 6b: Workshop results report

Workshop Results View - Strategic Risk Workshop 202501

Refresh · Expand Register · Wrap Text · Print · Export Register · Favourite View · Show Filter · RM Values · Reports · Charts · Close · Save · Close

Drag a column header here to group by that column.

	BarnOwl Evolution																
	Workshop Dashboard																
Workshop Title:	SRW 202502_3																
Unit Path:	Root\ABC12																
Objectives	Risks												Contributing Factors	Contributing Factor Controls			
Objective Title	Risk Title	Risk Reference	Risk Description	Risk Category	Risk Subcategory	II	IL	IR	RI	RL	RR	Contributing Factor Title	Control Title	Control Effectiveness	Action Plan Title	Status	% Com
Governance, Sustainability and Growth	Economic and Financial risks	SR01	• Economic Downturns: Recessions or macroeconomic instability. • Exchange Rate Fluctuations: Risks for organizations operating in multiple currencies. • Access to Capital: Challenges in raising funds for strategic initiatives.	Strategic	Financial	5.00	4.00	20.00	4.00	3.00	12.00				Put in effective economic policies to attract investment	Started	1.00
	Market risk	SR02	• Changing Consumer Preferences: A failure to adapt to evolving customer needs or trends. • Competitor Actions: New or existing competitors entering the market	Strategic	Market	5.00	4.00	20.00	4.20	3.20	13.44	Changing consumer preferences	Market survey	(none)	Please conduct a market survey for consumers	Not Started	0.00
												Competitor actions	Monitor competitor actions	Ineffective	Key competitor analysis (SWOT)	Not Started	0.00
	Operational Misalignment	SR03	• Resource Allocation: Misallocation of resources leading to inefficiencies or missed opportunities. • Supply Chain Disruptions: Inability to source materials or distribute products effectively. • Process Failures: Internal processes not aligned with strategic goals	Strategic	Operational	4.00	3.00	12.00	3.40	2.60	8.84						
	Regulatory and Compliance risks	SR04	• Policy Changes: New laws, regulations, or government policies that impact the organization. • Legal Challenges: Lawsuits or penalties arising from non-compliance. • Taxation Policies: Changes in tax codes or enforcement practices	Strategic	Regulatory	4.00	5.00	20.00	4.00	4.00	16.00						
	Reputational Risk	SR05		Strategic	Reputation	0.00	0.00	0.00	4.00	3.00	12.00	Negative Publicity	External communication management	Ineffective	Communication policy	Not Started	0.00
	Technological Risks	SR06	• Digital Transformation Challenges: Difficulty adopting new technologies or integrating systems. • Cybersecurity Threats: Data breaches or other security vulnerabilities affecting strategy. • Obsolescence: Failing to keep up with rapid technological advancements	Strategic	Technological	5.00	4.00	20.00	4.00	3.00	12.00						

Step 7: Synchronise the offline database back to the live database

Step 8: View updated live risk register

In summary

The BarnOwl workshop module is a highly effective way for facilitating interactive risk management workshops.

The workshop module enables the identification of news risks and controls, the rating of new and existing risks and controls using keypad voting and / or consensus voting.

A key feature is that the BarnOwl workshop module operates in an offline environment, eliminating key reliance on network connectivity.

https://barnowl.co.za/barnowl-knowledge-base/knowledge-base-featured-main/tip-of-the-month-using-the-barnowl-workshop-module

https://barnowl.co.za/barnowl-knowledge-base/knowledge-base-featured-main/tip-of-the-month-version-11-embedding-risk-management-with-rcsas

https://barnowl.co.za/barnowl-knowledge-base/knowledge-base-featured-main/barnowl-spotlight-session-power-bi-and-ssrs-standard-report-packs

https://barnowl.co.za/barnowl-knowledge-base/knowledge-base-featured-main/tip-of-the-month-internal-audit-dashboard-reporting

Conclusion

- Fully integrated risk, compliance and audit software (supports best practice frameworks such as KING IV, COSO, ISO31000, National Treasury Framework, GACP, IPPF 2024)

- Ease of use and practical (easy input, easy output, interactive workshop module)

- Totally flexible (rating models, audit programs, user defined fields)

- Supports uniformity and consistency (transversal risk templates, processes, audit programs)

- Exceptionally powerful reporting (flexible business intelligence dashboards, heat maps, drill down bar charts, pie charts, line charts (trends), compliance survey analysis, final audit reports (in MS Word) etc.)

- Cost effective (bundled modules, Rand based)

- Tried and tested (nationally and internationally)

- Leading edge technology (supports online and offline)

- Locally developed and supported

- Total peace of mind

BARNOWL

Thank You

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https://barnowl.co.za/barnowl-knowledge-base/

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